

**PATENT APPLICATION FEE DETERMINATION RECORD**

Effective December 29, 1999

Application or Docket Number

**CLAIMS AS FILED - PART I**

(Column 1)

(Column 2)

FOR	NUMBER FILED	NUMBER EXTRA
BASIC FEE		
TOTAL CLAIMS	90 minus 20 =	70
INDEPENDENT CLAIMS	6 minus 3 =	3
MULTIPLE DEPENDENT CLAIM PRESENT		

\* If the difference in column 1 is less than zero, enter "0" in column 2

**CLAIMS AS AMENDED - PART II**

(Column 1)

(Column 2)

(Column 3)

AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total	68	Minus	90
Independent	4	Minus	4	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				

SMALL ENTITY  
TYPE

OR  
OTHER THAN  
SMALL ENTITY

RATE	Fee	RATE	Fee
	345.00		690.00
OR			
X\$ 9=	130	X\$18=	260
OR			
X39=	39	X78=	78
OR			
+130=		+260=	
TOTAL	130	TOTAL	
OR			

OTHER THAN  
SMALL ENTITY

RATE	ADDI- TIONAL FEE	RATE	ADDI- TIONAL FEE
X\$ 9=		X\$18=	
OR			
X39=		X78=	
OR			
+130=		+260=	
TOTAL ADDITIONAL FEE		TOTAL ADDITIONAL FEE	
OR			

(Column 1)

(Column 2)

(Column 3)

AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total	Minus	**	=
Independent	Minus	***	=	
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				

RATE	ADDI- TIONAL FEE
X\$ 9=	
OR	
X39=	
OR	
+130=	
TOTAL ADDITIONAL FEE	
OR	

RATE	ADDI- TIONAL FEE
X\$18=	
OR	
X78=	
OR	
+260=	
TOTAL ADDITIONAL FEE	
OR	

(Column 1)

(Column 2)

(Column 3)

AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total	Minus	**	=
Independent	Minus	***	=	
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				

RATE	ADDI- TIONAL FEE
X\$ 9=	
OR	
X39=	
OR	
+130=	
TOTAL ADDITIONAL FEE	
OR	

RATE	ADDI- TIONAL FEE
X\$18=	
OR	
X78=	
OR	
+260=	
TOTAL ADDITIONAL FEE	
OR	

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20"

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

**PATENT APPLICATION FEE DETERMINATION RECORD**  
Effective October 1, 2001

Application or Docket Number

**CLAIMS AS FILED - PART I**

(Column 1) (Column 2)

<b>TOTAL CLAIMS</b>		
FOR	NUMBER FILED	NUMBER EXTRA
TOTAL CHARGEABLE CLAIMS	- minus 20 =	* <i>1</i>
INDEPENDENT CLAIMS	- minus 3 =	* <i>1</i>
MULTIPLE DEPENDENT CLAIM PRESENT		<input type="checkbox"/>

**SMALL ENTITY**  
TYPE

**OTHER THAN**  
**SMALL ENTITY**

RATE	FEE	RATE	FEE
BASIC FEE	370.00	BASIC FEE	740.00
X\$ 9=		X\$18=	
X42=		X84=	
+140=		+280=	
TOTAL		TOTAL	

\* If the difference in column 1 is less than zero, enter "0" in column 2

**CLAIMS AS AMENDED - PART II**

*(B)*

<b>AMENDMENT A</b>	(Column 1)	(Column 2)	(Column 3)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR
Total	* <i>40</i>	Minus	** <i>40</i> =
Independent	* <i>4</i>	Minus	*** <i>4</i> =
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM		<input type="checkbox"/>	

**SMALL ENTITY**

**OTHER THAN**  
**SMALL ENTITY**

RATE	ADDI- TIONAL FEE	RATE	ADDI- TIONAL FEE
X\$ 9=		X\$18=	
X42=		X84=	
+140=		+280=	
TOTAL ADDITIONAL FEE		TOTAL ADDITIONAL FEE	

*(Column 1)* *(Column 2)* *(Column 3)*

<b>AMENDMENT B</b>	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	* <i>40</i>	Minus	** <i>40</i> =	
Independent	* <i>4</i>	Minus	*** <i>4</i> =	
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM		<input type="checkbox"/>		

**RATE**  
ADDITIONAL  
FEE

**RATE**  
ADDITIONAL  
FEE

RATE	ADDI- TIONAL FEE	RATE	ADDI- TIONAL FEE
X\$ 9=		X\$18=	
X42=		X84=	
+140=		+280=	
TOTAL ADDITIONAL FEE		TOTAL ADDITIONAL FEE	

*(Column 1)* *(Column 2)* *(Column 3)*

<b>AMENDMENT C</b>	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	* <i>40</i>	Minus	** <i>40</i> =	
Independent	* <i>4</i>	Minus	*** <i>4</i> =	
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM		<input type="checkbox"/>		

**RATE**  
ADDITIONAL  
FEE

**RATE**  
ADDITIONAL  
FEE

RATE	ADDI- TIONAL FEE	RATE	ADDI- TIONAL FEE
X\$ 9=		X\$18=	
X42=		X84=	
+140=		+280=	
TOTAL ADDITIONAL FEE		TOTAL ADDITIONAL FEE	

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  
\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."  
\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

DATE MAILED: 

## NOTICE OF INSUFFICIENT FILING FEES

APPLICANT IS GIVEN 30 DAYS FROM THE DATE OF MAILING OF THIS NOTICE WITHIN WHICH TO SUBMIT THE BALANCE DUE. Extension of this 30 day period under 37 CFR 1.136(a) will not be permitted. Failure to respond within this period will result in the application becoming abandoned. 35 U.S.C. 133.

The filing fees submitted in connection with this application are insufficient. See the attached Patent Application-Fee Determination Record (Form PTO-875). The balance due for additional claims and/or multiple dependent claims is summarized below:

## A. Filing Fees due upon filing the application

Total Filing Fees Due	= \$ <input type="text"/>
Less Filing Fees Submitted	= \$ <input type="text"/>
BALANCE DUE	= \$ <u>40</u>

B. Fees due in connection with the amendment filed on 

Total Fees Due	= \$ <input type="text"/>
Less Fees Submitted	= \$ <input type="text"/>
BALANCE DUE	= \$ <input type="text"/>

ATTACHMENT: FORM PTO-875

Clerk of Group 

APPLICANT: PLEASE COMPLETE THIS PORTION AND RETURN THIS NOTICE WITH PAYMENT

Fee submitted \$ Signature 

## CERTIFICATE OF MAILING

I hereby certify that this notice and the required additional fee are being deposited with the U.S. POSTAL SERVICE as first class mail in an envelope addressed to  
Commissioner of Patents and Trademarks, Washington, D.C. 20531, on

Print Name: Signature: 

PTO-1519 (Rev. 7-92)

This Form is for INTERNAL PTO USE ONLY  
It does NOT get mailed to the applicant.

NOTICE OF FILING / CLAIM FEE(S) DUE  
(CALCULATION SHEET)

APPLICATION NUMBER: \_\_\_\_\_

Total Fee Calculation

	Fee	Amount				
Initial Filing Fee	\$ 100					345
Two Drawings	\$ 100	100	100			100
Additional Drawing	\$ 100	100	100			100
Small One-Claim Patent	\$ 100					
Surcharge	\$ 100					15
Examiner's Fee	\$ 100					
<b>TOTAL FEE CALCULATION</b>						1674

Fees due upon filing (or application)

Total Filing Fees Due = \$ \_\_\_\_\_

Less Filing Fees Submited = \$ \_\_\_\_\_

BALANCE DUE = \$ 1674

Office of Initial Patent Examination

Figure 7